

## United States Senate

WASHINGTON, D.C. 20510

April 19, 1988

OCA FILE GAO / Sen Brooks  
Rep Ford / Sen Pryor  
ONC / OSC

Mr. William F. Donnelly  
Deputy Director for Administration  
Central Intelligence Agency  
Washington, D.C. 20505

Dear Mr. Donnelly:

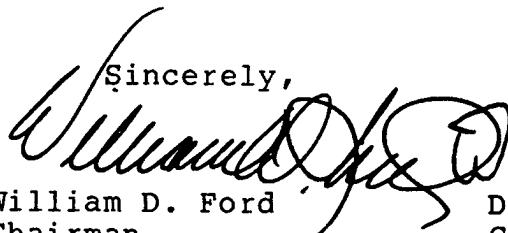
Since 1984, the General Accounting Office, at the request of the Committees on Government Operations and Post Office and Civil Service, has conducted several surveys of the government's information and personnel security programs. Their last survey covered calendar years 1984 and 1985. We have requested the General Accounting Office to obtain updated and additional information relative to calendar years 1986 and 1987. We would appreciate your response to the enclosed questionnaire by May 16, 1988.

Thank you very much for your prompt attention to this request.

Sincerely,



Jack Brooks  
Chairman  
Committee on  
Government Operations



William D. Ford  
Chairman  
Committee on Post Office  
and Civil Service



David Pryor  
Chairman  
Subcommittee on  
Federal Services,  
Post Office and  
Civil Service

**REQUEST FOR INFORMATION RELATIVE TO**  
**INFORMATION AND PERSONNEL SECURITY**

**Introduction:**

Throughout this questionnaire, we are talking about the number of people; for that reason, the number of positions should not be converted to full-time equivalents.

When an estimate is given for any answer, please precede the estimated number with the letter "E".

Unless otherwise specified, the requested information should be as of December 31, 1986, and December 31, 1987.

Please be specific when indicating whether an answer is classified.

If your agency participates in the Defense Industrial Security Program (DISP), you need not provide data on those contractor employees covered by the program, because such data will be obtained directly from the Department of Defense. If you also have contractor employees not covered by the DISP, please provide the requested data for them.

If any information will not be obtainable within the specified timeframe, please send that information which is available and indicate a date by which we may expect the remainder.

If you have any questions, please call Mr. Don Lentz 275-4052 or Mr. Irv Boker, 275-3973. Please return the completed questionnaire by May 16, 1988, to:

Mr. Irv Boker, Room 4100  
U.S. General Accounting Office  
Washington, D.C. 20548

**QUESTIONNAIRE****GENERAL**

1. Approximately how many people were employed by your agency as of December 31, 1986, and December 31, 1987? (The total should include both full- and part-time employees. If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

	<u>1986</u>	<u>1987</u>
Employees	_____	_____

**SECURITY CLEARANCES**

2. As of December 31, 1986, and December 31, 1987, approximately how many of your employees and how many employees of your contractors were cleared for access to classified information? Please count the individuals based on their highest level of clearance. (If the exact number is not known, please give your agency's best estimate. Do not include contractor employees who were granted clearances by the Defense Investigative Service. Enter the number on each line. If none, enter "0").

	<u>1986</u>	<u>1987</u>
<u>Highest Level of Clearance</u>	<u>Agency Employees</u>	<u>Contractor Employees</u>
TOP SECRET	_____	_____
SECRET	_____	_____
CONFIDENTIAL	_____	_____

3. How many SCI and non-SCI special access programs did your agency have at the close of calendar years 1986 and 1987? How many billets were authorized for these programs and how many agency and contractor employees were granted special access authorizations for them for each of those years? (If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

	<u>1986</u>	<u>1987</u>
	<u>SCI</u>	<u>Non-SCI</u>
Programs	_____	_____
Billets	_____	_____
Agency employees	_____	_____
Contractor employees	_____	_____

4. How many personnel security clearances, SCI accesses, and non-SCI special access program (SAP) accesses did you grant (or were granted) to your employees and employees of your contractors during calendar years 1986 and 1987? (If the exact number is not available, please give your agency's best estimate and indicate such by preceding the number with the letter "E". Do not include clearances granted to contractor employees by the Defense Investigative Service.)

	<u>1986</u>		<u>1987</u>	
	<u>Agency</u>	<u>Contractor</u>	<u>Agency</u>	<u>Contractor</u>
TOP SECRET	_____	_____	_____	_____
SECRET	_____	_____	_____	_____
CONFIDENTIAL	_____	_____	_____	_____
TOTAL	_____	_____	_____	_____
SCI Access	_____	_____	_____	_____
Non-SCI Special Access Program	_____	_____	_____	_____

5. How many requests for security clearances and SCI and non-SCI SAP accesses were in process (incomplete National Agency Checks, Background Investigations, adjudications, etc.) at the end of calendar years 1986 and 1987? (If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

<u>Level of Request for Clearance and Access in Process</u>	<u>1986</u>		<u>1987</u>	
	<u>Agency</u>	<u>Contractor</u>	<u>Agency</u>	<u>Contractor</u>
TOP SECRET	_____	_____	_____	_____
SECRET	_____	_____	_____	_____
CONFIDENTIAL	_____	_____	_____	_____
TOTAL	_____	_____	_____	_____
SCI Access	_____	_____	_____	_____
Non-SCI SAP Access	_____	_____	_____	_____

6. What was the average time (in calendar days) required for you or another agency to complete the investigative work incident to the granting of a top secret and secret security clearance and SCI and non-SCI SAP access during calendar years 1986 and 1987? (Do not include the time involved in the preparation and internal processing of the personnel security questionnaire and in the subsequent adjudicative process. Include, if appropriate, the average time taken by the Defense Investigative Service to process your requests for clearances for contractor employees.)

<u>Level of Clearance</u>	<u>1986</u>		<u>1987</u>	
	<u>Agency</u>	<u>Contractor</u>	<u>Agency</u>	<u>Contractor</u>
TOP SECRET	_____	_____	_____	_____
SECRET	_____	_____	_____	_____
CONFIDENTIAL	_____	_____	_____	_____
SCI Access	_____	_____	_____	_____
Non-SCI SAP Access	_____	_____	_____	_____

7. How many requests for your employees and employees of your contractors for security clearances and SCI and non-SCI SAP access were denied during calendar years 1986 and 1987? How many clearances and SCI and non-SCI accesses were revoked for reasons of risk or potential risk to national security or administratively because of insufficient need? Identify, by placing in brackets, those requests for clearances or revocations for contractor employees administered by the Defense Investigative Service. (If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

<u>Agency</u> <u>1986</u>	<u>Level of Clearance and Access</u>					<u>Total</u>
	<u>Top Secret</u>	<u>Secret</u>	<u>Confidential</u>	<u>SCI access</u>	<u>Non-SCI SAP access</u>	
Number of Denials	_____	_____	_____	_____	_____	_____
Revocations-Because of: Risk or Potential Risk	_____	_____	_____	_____	_____	_____
Administrative-No Further Need	_____	_____	_____	_____	_____	_____
Total Number of Revocations	_____	_____	_____	_____	_____	_____
<u>1987</u>						
Number of Denials	_____	_____	_____	_____	_____	_____
Revocations-Because of: Risk or Potential Risk	_____	_____	_____	_____	_____	_____
Administrative-No Further Need	_____	_____	_____	_____	_____	_____
Total Number of Revocations	_____	_____	_____	_____	_____	_____

<u>Contractor</u> <u>1986</u>	<u>Top</u> <u>Secret</u>	<u>Secret</u>	<u>Confi-</u> <u>dential</u>	<u>SCI</u> <u>access</u>	<u>Non-SCI</u> <u>SAP access</u>	<u>Total</u>
Number of Denials	_____	_____	_____	_____	_____	_____
Revocations-Because of: Risk or Potential Risk	_____	_____	_____	_____	_____	_____
Administrative-No Further Need	_____	_____	_____	_____	_____	_____
Total Number of Revocations	_____	_____	_____	_____	_____	_____
<u>1987</u>						
Number of Denials	_____	_____	_____	_____	_____	_____
Revocations-Because of: Risk or Potential Risk	_____	_____	_____	_____	_____	_____
Administrative-No Further Need	_____	_____	_____	_____	_____	_____
Total Number of Revocations	_____	_____	_____	_____	_____	_____

8. Please provide copies of your agency's policies and procedures governing the periodic reinvestigation of individuals after the initial granting of clearances and SCI access. How many completed reinvestigations of any type did your agency conduct or have conducted for it during calendar years 1986 and 1987? What was the average time (in calendar days) required for completion of the reinvestigation? (If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

	<u>Level of Clearance and Access</u>					
<u>1986</u>	<u>Top</u> <u>Secret</u>	<u>Secret</u>	<u>Confi-</u> <u>dential</u>	<u>SCI</u> <u>access</u>	<u>Non-SCI</u> <u>SAP access</u>	<u>Total</u>
Number of completed Reinvestigations:						
Agency	_____	_____	_____	_____	_____	_____
Contractor	_____	_____	_____	_____	_____	_____
Average Time Required:						
Agency	_____	_____	_____	_____	_____	_____
Contractor	_____	_____	_____	_____	_____	_____

<u>1987</u>	<u>Level of Clearance and Access</u>					<u>Total</u>
	<u>Top Secret</u>	<u>Secret</u>	<u>Confidential</u>	<u>SCI access</u>	<u>Non-SCI SAP access</u>	
Number of completed Reinvestigations:						
Agency	_____	_____	_____	_____	_____	_____
Contractor	_____	_____	_____	_____	_____	_____
Average Time Required:						
Agency	_____	_____	_____	_____	_____	_____
Contractor	_____	_____	_____	_____	_____	_____

9. Approximately how much did you spend in calendar years 1986 and 1987 for security clearance investigations, reinvestigations, special investigations, and adjudications, including inhouse costs, reimbursements to other federal agencies, and contract costs? Please identify specific costs if possible (i.e. adjudicative costs, Defense Investigative Service vs. Office of Personnel Management, etc.).

<u>Inhouse costs</u>	<u>1986</u>	<u>1987</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
<u>Total</u>	_____	_____
<u>Reimbursement to other agencies</u>		
_____	_____	_____
_____	_____	_____
_____	_____	_____
<u>Total</u>	_____	_____
<u>Contracted investigative services</u>		
_____	_____	_____
_____	_____	_____
_____	_____	_____
<u>Total</u>	_____	_____

**CLASSIFICATION MANAGEMENT**

10. How many employees of your agency were authorized to classify information (either original or derivative authority) at the top secret level and below and at the secret level and below as of December 31, 1986, and December 31, 1987? Please count the individuals based on their highest level of authority. (if the exact number is not known, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

<u>Level of Information Classified</u>	<u>Agency Classifiers</u>			
	<u>1986</u>		<u>1987</u>	
	<u>Original Authority</u>	<u>Derivative Authority</u>	<u>Original Authority</u>	<u>Derivative Authority</u>
TOP SECRET and below	_____	_____	_____	_____
SECRET and below	_____	_____	_____	_____

11. Does your agency require its classifiers to be certified? Does your agency require training for classifiers? If you answer yes, please provide the requirements of the certification and syllabus of the training requirements.

<u>Certification of Classifiers</u>	<u>Training Required for Classifiers</u>
_____ yes	_____ yes
_____ no	_____ no

12. Does your agency use classification guides? If you answer yes, how many were issued as of December 31, 1986, and December 31, 1987?

<u>Classification Guides Used</u>	<u>Number of Classification Guides Issued as of</u>	
	<u>December 31, 1986</u>	<u>December 31, 1987</u>
_____ yes	_____	_____
_____ no	_____	_____



**ACCESS BY HISTORIANS AND FORMER PRESIDENTIAL APPOINTEES**

13. Section 4.3 of Executive Order 12356 authorizes access to classified information by historical researchers and former presidential appointees. Please provide the following information on the number of individuals given such access.

<u>1986</u>	<u>Historical Researchers</u>	<u>Former Presidential Appointees</u>
Number of individuals granted access during calendar year 1986	_____	_____
Number of individuals denied access during calendar year 1986	_____	_____
Number of individuals with access as of December 31, 1986	_____	_____
 <u>1987</u>	 <u>Historical Researchers</u>	 <u>Former Presidential Appointees</u>
Number of individuals granted access during calendar year 1987	_____	_____
Number of individuals denied access during calendar year 1987	_____	_____
Number of individuals with access as of December 31, 1987	_____	_____

14. Please provide a copy of your agency's procedures governing section 4.3 of the executive order. If your procedures do not include a provision for this section of the order, please identify the position of the individual authorized to grant access to historical researchers and former presidential appointees.

**NONDISCLOSURE AGREEMENTS**

15. As of December 31, 1986, and December 31, 1987, how many employees of your agency and employees of your contractors had signed a Standard Form (SF) 189, SF 189-A, Form 4193, DD Form 1847-1 (for SCI access), or other nondisclosure agreement? (Please attach copies of agreements other than SF 189, SF 189-A, Form 4193 and DD Form 1847-1.)

<u>1986</u>	<u>Agency Employees</u>	<u>Contractor Employees</u>
SF 189	_____	_____
SF 189-A	_____	_____
Form 4193	_____	_____
DD Form 1847-1	_____	_____
_____ (other)	_____	_____
_____ (other)	_____	_____
 <u>1987</u>	 <u>Agency Employees</u>	 <u>Contractor Employees</u>
SF 189	_____	_____
SF 189-A	_____	_____
Form 4193	_____	_____
DD Form 1847-1	_____	_____
_____ (other)	_____	_____
_____ (other)	_____	_____

16. Has your agency issued written procedures for use of the SF 189 and 189-A?

\_\_\_\_\_ Yes                      \_\_\_\_\_ No

If you answered "yes", please provide a copy of the procedures.

17. Information Security Oversight Office (ISOO) instructions on the use of SF 189 and SF 189-A did not designate a date for completing implementation for individuals who already had access to classified information. What date or dates did you establish for obtaining a signed SF 189 or SF 189-A from every individual granted access to classified information prior to the December 29, 1987, letter from ISOO directing immediate suspension of the program?

	<u>SF 189</u>	<u>SF 189-A</u>
None	_____	_____
Date	_____	_____

18. Did you require all of your employees and all of your contractors' employees to sign either an SF 189 or SF 189-A?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

If you answered "no", which employees were not required to sign an SF 189 or SF 189-A?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

19. What means of enforcement did you have to ensure that all required individuals signed an SF 189 or SF 189-A? (Please explain.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

20. When do you require individuals--new employees, newly cleared employees, and employees who already have access to classified information--to sign the nondisclosure agreements (SF 189, SF 189-A, Form 4193, DD Form 1847-1, and others)? (Please check the appropriate line or lines.)

<u>New employees</u>	<u>SF 189</u>	<u>SF 189-A</u>	<u>Form 4193</u>	<u>DD Form 1847-1</u>	<u>Other</u>
When hired	_____	_____	_____	_____	_____
When a clearance is requested	_____	_____	_____	_____	_____
When a clearance is granted	_____	_____	_____	_____	_____
After a security briefing	_____	_____	_____	_____	_____
Before access to classified data	_____	_____	_____	_____	_____
Other (please explain on attachment)	_____	_____	_____	_____	_____

**Newly cleared employees**

	<u>SF 189</u>	<u>SF 189-A</u>	<u>Form 4193</u>	<u>DD Form 1847-1</u>	<u>Other</u>
After a security briefing	_____	_____	_____	_____	_____
Before access to classified data	_____	_____	_____	_____	_____
Other (please explain on attachment)	_____	_____	_____	_____	_____

**Employees already having access**

	<u>SF 189</u>	<u>SF 189-A</u>	<u>Form 4193</u>	<u>DD Form 1847-1</u>	<u>Other</u>
All employees have signed agreements	_____	_____	_____	_____	_____
After a security briefing	_____	_____	_____	_____	_____
Before access to classified data	_____	_____	_____	_____	_____
Other (please explain on (attachment))	_____	_____	_____	_____	_____

21. How many employees of your agency and contractors had refused to sign the SF 189 SF 189-A, Form 4193, DD Form 1847-1, or other nondisclosure agreement as of December 31, 1987?

	<u>Agency Employees</u>	<u>Contractor Employees</u>
SF 189	_____	_____
SF 189-A	_____	_____
Form 4193	_____	_____
DD Form 1847-1	_____	_____
Other	_____	_____

22. What action has been taken with respect to those individuals who refused to sign the SF 189, SF 189-A, Form 4193, DD Form 1847-1, or other nondisclosure agreement? Please indicate the number of employees involved and indicate the action or actions taken. For example, if any employee's security clearance was suspended and the individual was also reassigned to another position, the individual would be included in two of the categories described below.

	<u>Agency Employees</u>	<u>Contractor Employees</u>
Security clearance suspended	_____	_____
Security clearance revoked	_____	_____
No action taken on clearance	_____	_____
Individual reassigned to another position or duties with:		
No reduction in salary	_____	_____
Reduction in salary	_____	_____
Individual resigned	_____	_____
Individual's employment terminated	_____	_____
Case still under consideration	_____	_____
Other administrative sanctions (please describe below)	_____	_____

23. How many SF 189, SF 189-A, Form 4193, DD Form 1847-1, or other nondisclosure agreements were signed by employees of your agency and contractors during the periods of December 22, 1987 (date of enactment of on the use of nondisclosure) through March 31, 1988?

<u>December 22, 1987 - March 31, 1988</u>	<u>Agency Employees</u>	<u>Contractor Employees</u>
SF 189	_____	_____
SF 189-A	_____	_____
Form 4193	_____	_____
DD Form 1847-1	_____	_____
<u>(Other)</u>	_____	_____
<u>(Other)</u>	_____	_____
Total	_____	_____

**PRE-PUBLICATION REVIEW AND UNAUTHORIZED DISCLOSURES**

24. Are any of your agency's employees required to submit to any pre-publication review procedure (other than to review official statements on behalf of the agency)?

\_\_\_\_\_ Yes

\_\_\_\_\_ No (Please skip to Question 28)

25. Approximately how many books, articles, speeches, and other materials, by category, were reviewed during your agency's pre-publication review process (described in Question 24) for calendar years 1986 and 1987? If possible, identify the reason for pre-publication review. If the number is not known, please give your agency's best estimate (required by regulation or nondisclosure agreement). Please enter the number on each line. (If none, enter "0".)

<u>Reason for Pre-Publication Review</u>	<u>Type of Material</u>			
	<u>Books</u>	<u>Articles</u>	<u>Speeches</u>	<u>Other (Please Specify)</u>
<u>1986</u>				
Regulation	_____	_____	_____	_____
SCI Agreement	_____	_____	_____	_____
Non-SCI SAP Agreement	_____	_____	_____	_____
Other (please specify)	_____	_____	_____	_____
<u>1987</u>				
Regulation	_____	_____	_____	_____
SCI Agreement	_____	_____	_____	_____
Non-SCI SAP Agreement	_____	_____	_____	_____
Other (please specify)	_____	_____	_____	_____

26. Please estimate the average number of working days that elapse from the date of receipt of a request for pre-publication review of each type of document below, to the date the requestor is informed of the final results. If you have not had experience in reviewing a type of document, enter "NA" on the applicable line. (Enter estimated average number of working days on each line).

Estimated Average Working Days

_____ Books	_____ Articles
_____ Speeches	_____ Other (please specify)
_____	_____

27. During calendar years 1986 and 1987, approximately how many employees were assigned, and working days were used for pre-publication review as described in Question 24?

	<u>1986</u>	<u>1987</u>
Estimated number of employees assigned	_____	_____
Estimated number of working days used	_____	_____

28. During calendar years 1986 and 1987, did your agency experience any unauthorized disclosures of classified information? (Check one).

	<u>1986</u>	<u>1987</u>
Yes	_____	_____
No (Please skip to Question 32)	_____	_____

29. For calendar years 1986 and 1987, please indicate the total number of unauthorized disclosures, the number of unauthorized disclosures made through books, articles, speeches, written or given by then-current and former employees, the number which were not reported to the Department of Justice, and the number made by individuals who had previously signed a nondisclosure agreement.

	<u>1986</u>	<u>1987</u>
Total number of known unauthorized disclosures	_____	_____
Number not reported to Department of Justice	_____	_____
Number made through published writing or speeches by: then-current employees, or	_____	_____
Former employees	_____	_____
Number made by individuals who had previously signed a nondisclosure agreement	_____	_____

30. How many unauthorized disclosures were investigated by your agency or referred to another agency for investigation during calendar years 1986 and 1987?

	<u>1986</u>	<u>1987</u>
Number of cases investigated by your agency	_____	_____
Number of cases referred to another agency for investigation, after your investigation was completed	_____	_____

	<u>1986</u>	<u>1987</u>
Number of cases referred to another agency for investigation, that your agency did not investigate.	_____	_____

31. How many employees of your agency and employees of your contractors were denied further access to classified information, because of their unwillingness to cooperate with the investigation of an unauthorized disclosure (because the use of the polygraph was involved or for other reasons), during calendar years 1986 and 1987? How many were subjected to other administrative sanctions?

	<u>1986</u>		<u>1987</u>	
<u>Employees denied further access to classified information</u>	<u>Polygraph Involved</u>	<u>Other Reasons</u>	<u>Polygraph Involved</u>	<u>Other Reasons</u>
Agency	_____	_____	_____	_____
Contractor	_____	_____	_____	_____
<u>Employees subjected to other administrative sanctions</u>				
Agency	_____	_____	_____	_____
Contractor	_____	_____	_____	_____

32. Please indicate which of your agency's policies and procedures governing contacts between your employees and media representatives are for national security reasons. Also, explain or provide documentation explaining the method by which your agency assures that all employees with security clearances are apprised of these policies and procedures.

#### POLYGRAPH ACTIVITY

33. Did your agency employ polygraph operators during calendar years 1986 and 1987?

	<u>1986</u>	<u>1987</u>
Yes	_____	_____
No	_____	_____

34. As of December 31, 1986, and December 31, 1987, how many polygraph operators were employed by your agency? How many contracts for polygraph examinations did you have?

	<u>1986</u>	<u>1987</u>
Agency employees	_____	_____
Number of contracts	_____	_____



35. Do you have plans to employ any additional polygraph operators or contract out for services? If so, why and how many?

\_\_\_\_\_ Agency employees

\_\_\_\_\_ Contractors

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

36. As of December 31, 1986 and December 31, 1987, how many polygraph machines, if any, did your agency possess? How many were procured during calendar years 1986 and 1987? Do you have plans to procure any additional polygraph machines, and if so, how many?

	<u>1986</u>	<u>1987</u>
Number of polygraph machines on hand at end of the year	_____	_____
Number of polygraph machines procured during the year	_____	_____
Plans to procure additional polygraph machine		
_____ yes (number of machines _____)		
_____ no		

37. Approximately how many polygraph tests were conducted of your employees or applicants for employment by, or for, your agency? Please list by the indicated categories for each of the listed calendar years. If they were conducted by another agency or contractor, so indicate. Identify how many tests resulted in security clearance action (denial, revocation, suspension, other) and explain.

**Reason for Polygraph Test**

	<u>1986</u>		<u>1987</u>	
<b><u>Criminal or specific incident investigations</u></b>	<b><u>Number of Tests</u></b>	<b><u>Clearance Action</u></b>	<b><u>Number of Tests</u></b>	<b><u>Clearance Action</u></b>
Conducted by agency	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____
<b><u>Pre-employment screening</u></b>				
Conducted by agency	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____
<b><u>Pre-access screening</u></b>				
Conducted by agency	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____
<b><u>Subsequent screening</u></b>				
Conducted by agency	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____
Other (explain)	_____	_____	_____	_____
Conducted by agency	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____
Total (do not double count)	_____	_____	_____	_____
<b><u>Summary</u></b>				
Conducted by agency	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____
Total (should be the same number as in above "total")	_____	_____	_____	_____

**Explanation of Clearance Actions:**

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38. Under what authority, regulations and rules are your polygraph examinations conducted? Please list citations and attach copies. Highlight any changes since 1985. Also, indicate any plans to revise these governing regulations proposed at this time. Please describe the changes and attach copies of these proposals, if available. In particular, describe which employees, and indicate how many, would be potentially covered under each type of polygraph examination (specific incident investigation, screening or other uses (described) and the projected number of exams to be given in each category.
39. Please provide the qualifications required of individuals employed as polygraph examiners by your agency.
40. Please enter below the name, title, and telephone number of the person to be contacted if clarification or additional information is needed:

Agency: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Location: \_\_\_\_\_

Telephone number: (Area Code) \_\_\_\_\_ (Number) \_\_\_\_\_

If you have any questions, please contact either GAO staff member:  
Mr. Don Lentz, 275-4052 or Mr. Irv Boker, 275-3973

Thank you for your time. Please return the completed questionnaire by  
May 16, 1988, to:

Mr. Irv Boker, Room 4100  
U.S. General Accounting Office  
Washington, D.C. 20548